

Total No. of Questions : 4]

SEAT No. :

PC-1136

[Total No. of Pages : 2

[6316]-311

T.Y. B.B.A.

**B 506: Legal Aspects of Finance & Security Laws
(2019 Pattern) (Semester - V) (CBCS)**

Time : 2 ½ Hours]

[Max. Marks :50

Instructions to the candidates:

- 1) *All questions are compulsory.*
- 2) *Figures to the right indicate full marks.*

Q1) A) Select correct option and rewrite the sentence.

[5]

- 1) Axis bank is an example of _____
 - a) Private Bank
 - b) Foreign Bank
 - c) Nationalised Bank
 - d) Overseas bank
- 2) Which of the following is not a financial derivative?
 - a) Stock
 - b) Futures
 - c) Options
 - d) Forward contracts
- 3) A contract that requires the investor to sell securities on a future date is called as _____
 - a) Short contract
 - b) Long contract
 - c) Hedge
 - d) Micro hedge
- 4) The Person who signs the memorandum of Association of a company is called as _____
 - a) Subscribers to Memorandum of Association
 - b) Shareholders
 - c) Members
 - d) Creditors
- 5) Which of the following taxes will be levied on imports?
 - a) CGST
 - b) SGST
 - c) IGST
 - d) CGST and SGST

P.T.O.

B) Match the pairs.

[5]

	Group A		Group B
1)	Derivative	a)	Name clause
2)	Memorandum of Association	b)	Cash flow statement
3)	Cumulative dividend	c)	Call & Put options
4)	Listing of Securities	d)	Preference shares
5)	Financial Statements	e)	Initial Public Offer

Q2) Explain the overview of Indian Financial System with instruments in money market and capital market? **[10]**

OR

Explain the procedure for Issue of Various Types of Shares and Debentures?

Q3) Explain the importance of preparation of Financial Statements & its disclosure? **[10]**

OR

Explain GST registration procedure and benefits of GST Registration?

Q4) Write short Notes (any 4): **[4 × 5 = 20]**

- a) Derivatives instruments.
- b) Employee Stock Option Scheme.
- c) Articles of Associations (AOA).
- d) Investor Education and Protection Fund (IEPF).
- e) Types of GST.

