

**B-506 : LEGAL ASPECTS IN FINANCE & SECURITY LAWS
(2019 Pattern) (Semester - V)**

Time : 2½ Hours]

[Max. Marks : 50

Instructions to the candidates:

- 1) All Questions are compulsory.
- 2) Figures to the right indicate full marks.

Q1) A) Select the Correct Answer.

[5 × 1 = 5]

- i) _____ dealing with a procedure for company's memorandum
 - a) Section 12
 - b) Section 15
 - c) Section 6
 - d) Section 4
- ii) If an amount in a company's unpaid dividend account remains unpaid for a period of _____ years, it becomes part of the IEPF.
 - a) 5
 - b) 6
 - c) 7
 - d) 9
- iii) Any change in the registered office's address must be reported to the register within _____ days.
 - a) 60 Days
 - b) 15 Days
 - c) 30 Days
 - d) 15 Days
- iv) In addition to their name, businesses now have a _____.
 - a) PIN
 - b) TIN
 - c) CIN
 - d) DIN
- v) Ultra vires means
 - a) With in power
 - b) Beyond the power
 - c) Passing of power
 - d) Change in power

B) Match the Pairs.

[5]

| Group A | Group B |
|------------------|---------|
| i) FMC | a) 2017 |
| ii) PFRDA | b) 2016 |
| iii) IEPF | c) 2003 |
| iv) GST | d) 1952 |
| v) Companies Act | e) 2013 |

Q2) What is Derivatives? Explain different types of Derivatives?

[10]

OR

Write in detail about the importance of preparation of financial statements and its disclosure.

Q3) Write in detail about the procedure of issue of various types of shares & debentures?

[10]

OR

What is GST? Write salient features and types of GST in India.

Q4) Short Notes (Any Four) :

[20]

- Basics of Insurance
- ESOS & ESPS
- MOA
- Disadvantages of GST
- Important Features or Characteristics of a company

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