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SEAT No. :

P1887

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[6033]-511

T.Y. B.B.A.

B-506 : LEGALASPECT IN FINANCE & SECURITY LAWS

(2019 Pattern) (Semester - V) (CBCS)

Time : 2½ Hours]

[Max. Marks : 50

Instructions to the candidates:

- 1) *All questions are compulsory.*
- 2) *Figures to right indicate full marks.*

Q1) A) Select the correct answer.

[5]

- a) Bank of Maharashtra is an example of _____
 - i) Private Bank
 - ii) Public Sector Bank
 - iii) Foreign Bank
 - iv) Scheduled Cooperative Bank
- b) The term securities includes _____
 - i) Units of collective investment scheme
 - ii) Coins
 - iii) Plastic Currencies
 - iv) Negotiable instruments
- c) Investor Education and Protection Fund (IEPF) has been set up under the provisions of _____
 - i) RBI Act, 1934
 - ii) SEBI Act, 1992
 - iii) SBI Act, 1955
 - iv) Companies Act, 2013
- d) _____ is otherwise called Gilt-edged securities Market.
 - i) Debentures Market
 - ii) Commercial Paper Market
 - iii) Government Securities Market
 - iv) Secondary Market
- e) The Goods and Service Act was passed in the one _____ and came into force on _____
 - i) 29th March, 2017 ; 1st July 2017
 - ii) 1st July 2017 ; 29th March 2017
 - iii) 1st March 2017 ; 15th August 2017
 - iv) 1st January 2017 ; 1st July 2017

P.T.O.

B) Match the pairs. [5]

Group A	Group B
a) FMC	i) 2017
b) PFRDA	ii) 2016
c) IEPF	iii) 2003
d) GST	iv) 1952
e) Companies Act	v) 2013

Q2) Define the term Derivatives. Explain different types of Derivatives? [10]

OR

What is GST? Explain the types of GST in detail.

Q3) Write in detail about the procedure of issue of various types of shares & debentures? [10]

OR

Write in detail about the importance of preparation of financial statements and its disclosure.

Q4) Write short notes (any four) [20]

- Difference between Primary Market and Secondary Market
- Delisting of Securities
- Memorandum of Association
- Functions of GSTIN
- Important features of characteristics of a company

