

Total No. of Questions : 4]

SEAT No. :

P-5971

[Total No. of Pages : 2

[6143]-411

S.Y. B.B.A.

**B406 : FINANCIAL SERVICES
(2019 Pattern) (CBCS) (Semester - IV)**

Time : 2½ Hours]

[Max. Marks : 50

Instructions to the candidates:

- 1) *All questions are compulsory.*
- 2) *Figures to the right indicate full marks.*

Q1) A) Multiple Choice Questions :

[5]

- i) _____ are business organisations that act as mobilisers and depositories of savings and as purveyors of credit or finance.
 - a) Financial Markets
 - b) Financial Institutions
 - c) Financial Instruments
 - d) None of these
- ii) _____ means that the magnitude, speed and spread of changes in the financial sector are simple extra ordinary.
 - a) Financial engineering
 - b) Financial Innovation
 - c) Financial revolution
 - d) Financial Integration
- iii) _____ is the issue of a new securities to existing shareholders at a ratio to those already held.
 - a) Equity shares
 - b) Preference shares
 - c) Rights shares
 - d) Bonus shares
- iv) A _____ is a collection of stocks and / or bonds.
 - a) Mutual funds
 - b) Factoring
 - c) Swap
 - d) None of these
- v) _____ is a negotiable certificate evidencing indebtedness.
 - a) Debenture
 - b) Bonus shares
 - c) Bond
 - d) Government Securities

P.T.O.

B) Match the pairs. [5]

Group A

- i) Financial Market
- ii) Money Market
- iii) Primary Market
- iv) Capital Market
- v) Derivatives Market

Group B

- a) Forwards, swaps and options
- b) Trading Financial Securities
- c) Long Term Fund
- d) New Issue Market
- e) Short Term Fund

Options :

- 1) i-b, ii-e, iii-d, iv-c, v-a
- 2) i-a, ii-b, iii-c, iv-d, v-e
- 3) i-b, ii-e, iii-d, iv-a, v-c
- 4) i-e, ii-a, iii-c, iv-b, v-d

Q2) Long Answer Questions (Solve any one out of two) :

[10]

- a) What is Money Market? Explain various money market instruments.
- b) Give the meaning of stock exchange. Explain in detail over the counter exchange in India (OTCEI).

Q3) Long Answer Questions (Solve any one out of two) :

[10]

- a) Define the term Mutual funds. Explain different broad types of Mutual funds in detail.
- b) Explain the structure of financial system in brief.

Q4) Write short notes on (any 4 out of 6) :

[20]

- a) SEBI
- b) Underwriting
- c) Process of IPO
- d) Difference between Capital Market and Money Market
- e) CRISIL
- f) Commodity Market.

