

Total No. of Questions : 4]

SEAT No. :

**P1874**

[Total No. of Pages : 2

[6033]-411

**S.Y.B.B.A.**

**B 406 : FINANCIAL SERVICES  
(2019 Pattern) (Semester - IV)**

*Time : 2½ Hours]*

*[Max. Marks : 50*

*Instructions to the candidates:*

- 1) *All questions are compulsory.*
- 2) *Figures to the right indicate full marks.*

**Q1) A) Fill in the blanks.**

**[5]**

- a) \_\_\_\_\_ frames rules and regulations for securities markets.
  - i) IRDA
  - ii) TRAI
  - iii) SEBI
- b) T. Bill refers to \_\_\_\_\_.
  - i) Certificate of Deposit.
  - ii) Commercial Papers.
  - iii) Treasury Bills.
- c) \_\_\_\_\_ is India's largest stock Exchange.
  - i) National Stock Exchange.
  - ii) Bombay Stock Exchange.
  - iii) Pune Stock Exchange.
- d) Speciality Funds investing in specific sectors/industry are called as \_\_\_\_\_.
  - i) Sector Funds.
  - ii) Foreign Security Fund.
  - iii) Equity Index Fund.
- e) \_\_\_\_\_ is not a Regulatory Agency for a Mutual Fund.
  - i) Ministry of Finance
  - ii) Stock Exchanges
  - iii) IRDA

**P.T.O.**

B Match the following: [5]

Group-A

Group-B

- |                             |                           |
|-----------------------------|---------------------------|
| i) Sponsor of a mutual fund | a) Discounting            |
| ii) Factor                  | b) Diversified investment |
| iii) Exchange Traded Funds  | c) Stock Exchange         |
| iv) Money Market            | d) Promoter               |
| v) Secondary market         | e) Wholesale Debt market  |

Q2) Attempt any one from the following: [10]

- a) What is a Financial Market? Briefly explain different types of financial intermediaries in the financial system.

OR

- b) Explain the steps involved in issue and management of an IPO.

Q3) Attempt any one from the following: [10]

- a) What is a Mutual Fund? Explain different types of Mutual Funds.

OR

- b) Differentiate between Money Market and Capital Market.

Q4) Write short notes on: (any four) [20]

- a) Merchant Banker  
b) Underwriter  
c) Bombay Stock Exchange  
d) Advantages of Mutual Funds  
e) Factoring  
f) Venture Capital